

SITECH.001A

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Shi-Ho Wang, Chih-Hsing ) Group Art Unit 1731  
Cheng, Sharon Doh )  
App. No. : 09/615,628 )  
Filed : July 13, 2000 )  
For : SOL-GEL PROCESS FOR )  
PRODUCTION OF OXIDE- )  
BASED GLASS AND )  
CERAMIC ARTICLES )  
Examiner : Unknown )

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Enclosed is form PTO-1449 listing one reference that is also enclosed. This Supplemental Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 1/4/02

By: Bruce S. Itchkawitz  
Bruce S. Itchkawitz  
Registration No. 47,677  
Attorney of Record  
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<p>FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE</p> <p><b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p>(USE SEVERAL SHEETS IF NECESSARY)</p>	<p>ATTY. DOCKET NO. SITECH.007A</p> <p><b>COPY OF PAPERS ORIGINALLY FILED</b></p>	<p>APPLICATION NO. 09/615,628</p>
	<p>APPLICANTS Shi-Ho Wang, Chih-Hsing Cheng, Sharon Doh</p>	
	<p>FILING DATE July 13, 2000</p>	<p>GROUP 1731</p>

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
	Kirkbir, et al., "Parametric study of strength of silica gels," Journal Non-Crystalline Solids, Vol. 178, p. 284-292 (1994).

H:\DOCS\BSI\BSI-2116.DOC:sam  
010402

EXAMINER	DATE CONSIDERED
<p>*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.</p>	

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Paul Eugene THOMAS et al

Serial No. 09/723,555

Filed: 27 November 2000

For: SCREEN APPARATUS FOR MAKING VACUUM FORMED FILMS

Group No. 1732

Commissioner for Patents  
Washington, D.C. 20231



COPY OF PAPERS  
ORIGINALLY FILED

CERTIFICATE OF MAILING BY FIRST CLASS MAIL	
Date of Deposit:	JANUARY 11, 2002
I hereby certify that this paper, including the documents referred to therein, or fee is being deposited with the U.S. Postal Service, first class postage, for service under 37 CFR § 1.08 on the date indicated above and is addressed to: Commissioner for Patents, Washington, D.C. 20231	
Signature	Marsha S. Green
Print Name:	Marsha S. Green

Sir or Madam:

TRANSMITTAL OF SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
BEFORE MAILING DATE OF FIRST OFFICIAL ACTION [37 C.F.R. § 1.97(b)]

Pursuant to the duty of disclosure under 37 C.F.R. § 1.56, Applicant submits herewith patents of which he is aware that he believes may be material to the examination of this application and in respect of which there may be a duty to disclose. These documents are being submitted in accordance with 37 C.F.R. § 1.97(b).

This Information Disclosure Statement is being transmitted before receipt of the first Official Action. Thus, it is believed that no fee is required. However, the Commissioner is hereby authorized to credit and/or charge our Deposit Account No. 10-0447 for any overpayment and/or fee deficiency which may be required in connection with this application.

The filing of this Information Disclosure Statement shall not be construed as an admission that the information cited is, or is considered to be, material to patentability, or that no other material or information exists. Applicants hereby expressly reserve the right to swear behind the effective dates of any of the references. Applicants further reserve the right to question the relevance and materiality of the references in whole, in part or in combination subsequent to the filing of this statement.

Disclosed on the attached form PTO-1449 is a listing of the prior art references. Legible copies of the items listed in Form PTO 1449 accompany this Information Disclosure Statement. The Examiner is respectfully requested to consider each of the cited references and, after such consideration, initial the enclosed Form PTO-1449 in the spaces provided next to each reference. Please return a copy of the initialed Form PTO-1449 with the next communication transmitted to the Applicant.

Respectfully submitted,

JENKENS & GILCHRIST, P.C.

By: P. Weston Musselman, Jr.  
Name: P. Weston Musselman, Jr.  
Reg. No. 31,644

Date: January \_\_, 2002  
JENKENS & GILCHRIST, P.C.  
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PATENT TRADEMARK OFFICE



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PATENT

3/W  
# 3-902

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Randy Kish

GROUP: 1732

SERIAL NO.: 10/021,475

EXAMINER:

FILED: October 30, 2001

DOCKET NO.: KIRA 8169

RECEIVED

FEB 13 2002

TC 1700

FOR: METHOD FOR SHAPING OPTICAL STORAGE DISCS AND PRODUCT  
THEREOF

January 23, 2002

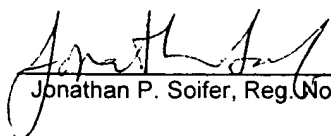
Commissioner for Patents  
Washington, D.C. 20231

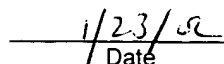
INFORMATION DISCLOSURE STATEMENT  
PURSUANT TO §1.97 AND § 1.98

Sir:

The information, patents and/or literature references listed on the attached form PTO-1449, copies of which are enclosed, are being submitted within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; before the mailing of a first Office action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114. Therefore, no fee is due.

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on January 23, 2002.

  
Jonathan P. Soifer, Reg. No. 34,932


  
Date

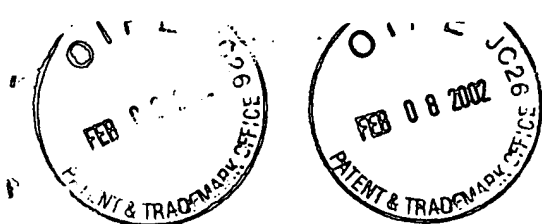
Published Japanese Application 1264644 corresponds to Japanese Application No. 89-353059/48, which is referenced in the current application. A Derwent printout of the Japanese Publication is provided with the Japanese Publication. Hence, a translation of the Japanese Publication is not believed to be required.

The Commissioner is hereby authorized to charge any additional fees or credit overpayment under 37 CFR 1.16 and 1.17, which may be required by this paper to Deposit Account 162201. *Duplicate copies of this sheet are enclosed.*

Respectfully submitted,

Date: January 23, 2002

  
Jonathan P. Soifer, Reg. No. 34,932  
POLSTER, LIEDER, WOODRUFF  
& LUCCHESI, L.C.  
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Sheet \_\_\_\_ of \_\_\_\_

<b>FORM PTO-1449</b> U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use several sheets if necessary)	<b>ATTY. DOCKET NO.:</b> KIRA 8169US	<b>SERIAL NO.:</b> 10/021,475
	<b>APPLICANT:</b> Randy Kish	
	<b>Filing Date:</b> 10/30/2001	<b>Group:</b> 1732

**U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Kind Code (click here)	Patent Issue Date OR US App. Pub. Date OR US Non-Published Application Filing Date	Name
	US 5 1 0 8 7 8 9		04/1992	K. Michael, et al
	US 5 8 8 2 5 5 5		03/1999	J. Rohde, et al
	US 5 9 3 8 9 8 9		08/1999	G. Hambright
	US 5 9 4 2 1 6 5		08/1999	M.A. Sabatini
	US 5 9 9 7 7 8 8		12/1999	T. Ebina
	US			

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FEB 13 2002

TC 1700

**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Country	Translation	
	1 2 6 4 6 4 4	10/1989	Japan	Yes	No

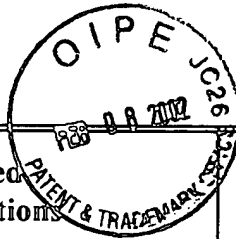
**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**


<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
-----------------	------------------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449)

FEB 13 2002



Form PTO-1449 Modified  
List of Patents and Publications  
Cited by Applicant  
(Use several sheets if necessary)  
U.S. Patent Department of Commerce  
Patent and Trademark Office

Docket No.:  
31358-00273 USPT

Serial No.:  
09/723,555

Applicants: Paul E. Thomas et al.

Filing Date:  
November 27, 2000

Group:  
1732

U.S. PATENT DOCUMENTS

Examiner Initials		Document No.	Date	Name	Class	Subclass
	C13	5,478,335	26 Dec 1995	Adrian J. Colbert	604	383

EXAMINER:

DATE CONSIDERED:

FOREIGN PATENT DOCUMENTS

Examiner Initials		Document No.	Date	Country	Translation	
					Yes	No

EXAMINER:

DATE CONSIDERED:

OTHER REFERENCES

Examiner Initials		Document Description

EXAMINER:

DATE CONSIDERED:

PTO-1449